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[12131/1]

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8-24-01
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : BRANDER et al.
Serial No. : 08/976,159
Filed : November 21, 1997
For : ENHANCED MATCHING APPARATUS AND
METHOD FOR POST-TRADE PROCESSING AND
SETTLEMENT OF SECURITIES TRANSACTIONS
Examiner : Romain Jeanty
Art Unit: : 2765

Assistant Commissioner
for Patents
Washington, D.C. 20231

I hereby certify that this correspondence is being deposited with the
United States Postal Service as first class mail in an envelope
addressed to: Assistant Commissioner for Patents, Washington,
D.C. 20231, on

Date 16 August Atty's Reg. # 36098

Atty's Signature [Signature]
KENYON & KENYON

AMENDMENT

Sir:

This paper addresses the Communication dated August 9,
2001, and the Office Action dated February 7, 2001.
Initially, please amend the application as set forth below.

IN THE CLAIMS:

Please amend the claims as follows:

1. (Amended) A system for settlement of a securities trade by
obtaining agreement as to the details of the trade among a
broker, institution, agent and interested parties comprising:

- 9/
- a. a computer system which enables the broker,
institution, agent and interested parties to send
and receive communications;
 - b. a standing instructions database containing sets of
instructions for trade settlement input by the
institution, the broker and the agent prior to the
securities trade;



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

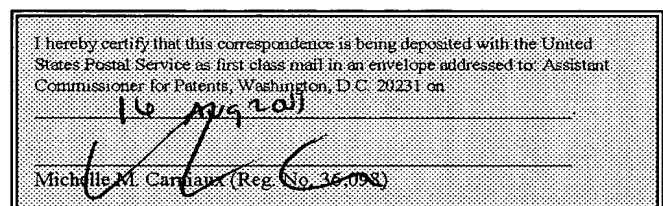
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TRANSMITTAL

SIR:

Please find an Amendment transmitted herewith for filing in the above-identified patent application.

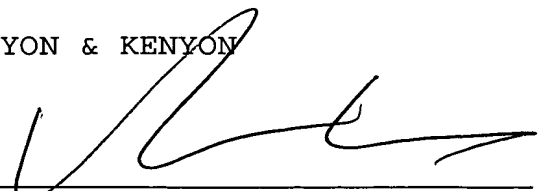
No fee is believed to be required. However, if any fee is required, please use Deposit Account No. 11-0600. A duplicate copy of this transmittal letter is enclosed for that purpose.

Respectfully submitted,

KENYON & KENYON

Dated: 16 August

By:


Michelle M. Carniaux
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